

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Eric Klinker et al.

RECEIVED

SERIAL NO.:

10/040,902

NOV 1 8 2003

FILED:

December 28, 2001

Technology Center 2600

TITLE:

System and Method to Provide Routing Control of

Information Over Networks

EXAMINER:

Unknown

GROUP ART UNIT:

2661

ATTY.DKT.NO.:

PA2330US

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted, Eric Klinker et al.

Dated: November 12, 2003

Sucan Vac Pag No.

Susan Yee, Reg. No. 41,388

Carr & Ferrell *LLP* 2200 Geng Road

Palo Alto, CA 94303

TEL: (650) 812-3400 FAX: (650) 812-3444

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 12, 2003:

Ву:

By:

Susan Yee Reg No 41 388

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

1 of

Complete if Known				
Application Number	10/040,902			
Filing Date	December 28, 2001			
First Named Inventor	Klinker			
Art Unit	2661			
Examiner Name	Unknown			
Attorney Docket Number	PA2330US			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		us- 6,130,890	10-10-2000	Leinwand et al.	William I
		^{US-} 6,249,801 B1	06-19-2001	Zisapel et al.	RECEIVED
		^{US-} 6,275,470 B1	08-14-2001	Ricciulli	
		us- 6,292,464 B1	09-18-2001	Elahmadi et al.	NOV. 1 '8 2003
		^{US-} 6,374,300 B2	04-16-2002	Masters	
		^{US-} 6,393,486 B1	05-21-2002	Pelavin et al.	Technology Center 26
		us- 6,404,769 B1	06-11-2002	Kapoor	·
		∪s- 6,405,219 B2	06-11-2002	Saether et al.	
		US-			•
		^{US-} 2001/0037387 A1	11-01-2001	Gilde et al.	
		^{US-} 2002/0075813 A1	. 06-20-2002	Baldanado et al.	
		^{US-} 2002/0078223 A1	06-20-2002	Baldonado et al. •	
		^{US-} 2002/0040400 A1	04-04-2002	Masters	
		^{US-} 2002/0103846 A1	08-01-2002	Zisapel et al.	4.00
		US-			
	***************************************	US-			Additional and the second of t
		US-	\$*************************************	-	
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
~~=~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~							
••••••			******************************		***************************************		
*************				•	***************************************		

Examiner	Date	
Signature	Considered	
£-571.571.5		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

2

TRANSPOSITUTE for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/040,902	,	
Filing Date	December 28, 2001		
First Named Inventor	Klinker		
Art Unit	2661		
Examiner Name	Unknown		
Attorney Docket Number	PA2330US		

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
***************************************		∪s- 5,724,513	03-03-1998	Ben-Nun et al.			
		^{US-} 5,898,668	04-27-1999	Shaffer			
		us- 6,047,326	04-04-2000	Kilkki	RECEIVED		
		us- 6,055,571	04-25-2000	Fulp et al.	1 9 2003		
		^{US-} 6,154,778	11-28-2000	Koistinen et al.	NOV 1 8 2003		
		^{US-} 5,870,561	02-09-1999	Jarvis et al.	Technology Center 2600		
		us- 6,064,677	05-16-2000	Kappler et al.	16CIIIOIO33 course ====		
		US- 6,181,679 B1	01-30-2001	Ashton et al.			
		^{US-} 6,252,848 B1	06-26-2001	Skirmont			
		^{US-} 6,226,751 B1	05-01-2001	Arrow et al.			
		^{US-} 6,185,635 B1	02-06-2001	O'Loughlin et al.			
		^{US-} 6,256,314 B1	07-03-2001	Rodrig et al.			
		^{US-} 6,262,976 B1	07-17-2001	McNamara			
		US-					
		^{US-} 2003/0088529 A1	05-08-2003	Klinker			
		^{US-} 2003/0088671 A1	05-08-2003	Klinker			
		US-			**************************************		
		US-					

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	. Foreign Patent Document Country Code ³ 'Number ⁴ 'Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵		
			·····					
******************************						_		
***************************************						_		
**************					***************************************	-		

Examiner		Date	,
Signature		Considered	
	·	1	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

